

### REMARKS

This Preliminary Amendment cancels without prejudice original claims 1 to 22 in the underlying PCT Application No. PCT/DE2003/03167. This Preliminary Amendment adds new claims 23-44. The new claims are believed to conform to the U.S. Patent and Trademark Office rules and do not add new matter to the application.

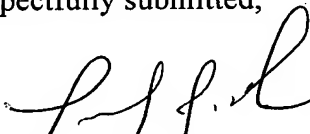
In accordance with 37 C.F.R. § 1.121(b)(3), the Substitute Specification (including the Abstract, but without the claims) contains no new matter. The amendments reflected in the Substitute Specification (including Abstract) are to conform the Specification and Abstract to U.S. Patent and Trademark Office rules or to correct informalities. As required by 37 C.F.R. § 1.121(b)(3)(iii) and § 1.125(b)(2), a Marked Up Version Of The Substitute Specification comparing the Specification of record and the Substitute Specification also accompanies this Preliminary Amendment. In the Marked Up Version, double-underlining indicates added text and strikeouts indicate deleted text. Approval and entry of the Substitute Specification (including Abstract) is respectfully requested.

The underlying PCT Application No. PCT/DE2003/03167 includes an International Search Report, dated February 17, 2004. The Search Report includes a list of documents that were uncovered in the underlying PCT Application. An English translation of the Search Report accompanies this Preliminary Amendment. The underlying PCT Application No. PCT/DE2003/03167 includes an International Preliminary Examination Report, dated January 25, 2005. A copy of the Report accompanies this Preliminary Amendment.

Applicant asserts that the subject matter of the present application is new, non-obvious, and useful. Prompt consideration and allowance of the application are requested.

Respectfully submitted,

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By:  TIMOTHY S. BEZHEW  
Richard L. Mayer (Reg. No. 22,490) REG. NO. 48,126

KENYON & KENYON  
One Broadway  
New York, New York 10004  
(212) 425-7200 (telephone)  
(212) 425-5288 (facsimile)

**CUSTOMER NO. 26646**

# DEVICE AND METHOD FOR ADJUSTING CHROMATIC DISPERSION

## FIELD OF THE INVENTION

The present invention relates generally to the compensation and monitoring of chromatic dispersion in optical systems. In particular, the invention relates to a device and method for adjusting chromatic dispersion.

## BACKGROUND OF THE INVENTION

Chromatic dispersion (CD) in optical fibers or other optical components is a widespread physical phenomenon that generally occurs during the transmission of optical signals in dispersive media.

In highly developed optical transmission systems, chromatic dispersion becomes relevant as an interfering effect, for example, at high transmission rates or great optical transparency lengths. To reduce the chromatic dispersion, which is accumulated along an optically transparent transmission path, it is known to insert dispersion-compensating elements into the transmission paths. Among other things, dispersion-compensating fibers (DCFs) or chirped fiber gratings are used for that purpose.

Problematical, however, in the compensation of chromatic dispersion is that in general, it has no constant value for a given transmission length, but rather is subject to changes over time. In addition to being triggered by other environmental influences, such changes are also triggered by, inter alia, ~~by~~ temperature fluctuations, since the chromatic

dispersion of optical components such as glass fibers is temperature-dependent.

These changes in the chromatic dispersion are relatively small, so that the change in signal quality caused by them is generally negligible in transmission systems currently installed.

In the case of newer, highly developed transmission systems, for instance, those with data transmission rates above 10Gbit/s or very long transmission paths, however, these changes already have a very disruptive effect on the optical signal quality. For such transmission systems, methods for automatic or adaptive dispersion compensation (ADC) are therefore considered to be absolutely necessary.

~~First~~ Some ADC implementation ~~proposals~~ techniques are already known ~~for an~~ ADC. A device for ADC proposed by K. Yamane in "New functionalities for advanced optical interfaces (dispersion compensation)", workshop on IP/optical in Chitose, Japan, July 9-11, 2002 is based on an optical circulator in conjunction with a complex free-beam optical system having six partially mechanically adjustable optical components. However, this design approach is very costly. In addition, its long-term stability is doubtful.

#### SUMMARY OF THE INVENTION

Therefore, ~~the one~~ object of the present invention is to simplify the compensation of chromatic dispersion. This objective is ~~already achieved by a device, as recited in Claim 1,~~ an optical transmission system ~~as recited in Claim 9,~~ as well as a method ~~as recited in Claim 16~~ described herein. ~~Advantageous further refinements constitute the subject matter of the respective dependent claims.~~

Accordingly, a device of the present invention for adjusting chromatic dispersion in an optical transmission system includes

5     ~~an~~ optical element having a temperature-dependent chromatic dispersion, as well as

      a device for adjusting a temperature or a temperature distribution of at least one region of the optical element for providing a predefined chromatic dispersion of the optical element.

10    This unit ~~having~~ includes an optical element with temperature-dependent chromatic dispersion. ~~and a~~ device for adjusting a temperature or a temperature distribution represents a new type of component which, in the following, is also called ~~OCET~~ an optical chromatic dispersion control element using  
15    temperature control (OCET).

In addition, ~~it lies within the scope of the present invention includes to indicate an optical transmission system which, according to the present invention, has~~ having at least one ~~such~~ OCET installed between a transmitter and a receiver in  
20    the optical path of the transmission system. ~~so that e~~ Compensation can ~~may~~ be made for fluctuations of the chromatic dispersion by suitable adjustment of the OCET.

The same effect, which essentially leads to fluctuations of the chromatic dispersion in optical transmission systems over  
25    time, namely, the temperature fluctuations to which the optical elements of the transmission system are exposed, are thus utilized in a surprisingly simple manner by the present invention to permit adjustment of predetermined values of the chromatic dispersion along the transmission link.

30    According to the method of the present invention for adjusting the chromatic dispersion in an optical transmission system, ~~to~~

~~that end,~~ a predetermined temperature or a temperature distribution of at least one region of the optical element is adjusted so that the optical element exhibits a predetermined chromatic dispersion.

- 5 In this context, by adjusting the temperature or the temperature distribution, the chromatic dispersion of the optical element may preferably be adjusted in such a way that the chromatic dispersion of the transmission link is compensated. In the same way, however, it is also possible to
- 10 set the dispersion to a specific value. This is useful, for example, when additional elements for compensation of the chromatic dispersion are inserted along the optical path. In addition, using an OCET, the chromatic dispersion of the entire transmission system can also be set in targeted fashion
- 15 to a value other than zero, or a value range can be traversed or tuned, in order, for example, to test the tolerance of the optical transmission system with respect to fluctuations of the chromatic dispersion, i.e., the influence of such fluctuations on the signal quality and transmission quality.
- 20 The device for adjusting a temperature or temperature distribution may advantageously include a temperature chamber. It is thereby possible to adjust the ambient temperature of the optical element so that the temperature difference between the optical element and the surroundings disappears. In this
- 25 way, the temperature or the temperature distribution of the optical element, and consequently the OCET, may be well stabilized.

Moreover, in particularly simple and preferred manner, the temperature of the optical element may be changed using a

30 heating device. In this case, the optical element is advantageously designed so that at room temperature, it then overcompensates the value of the chromatic dispersion of the

transmission system to be reached or adjusted, so that in response to suitable temperature increase, the desired value can be reached. To that end, the optical element may advantageously include a material which exhibits a dispersion coefficient that has an inverted sign compared to the dispersion coefficient of the optical transmission system. An overcompensation may then be achieved precisely by the use of such a material.

In addition, adjustment of a predetermined chromatic dispersion of the optical element may be achieved ~~particularly easily~~ if the optical element includes a material which exhibits an essentially monotonic or even linear dependence of the chromatic dispersion on the temperature, ~~so that a~~ A definite temperature value may be assigned to each chromatic dispersion within the adjustable value range.

As already mentioned above, the effect of the temperature dependence of the chromatic dispersion is ~~only~~ very small. To be able to achieve sufficiently great compensation, it is therefore advantageous if the light travels a path of the greatest length possible within the temperature-influenced material of the optical element. It is possible to achieve this ~~particularly easily~~ by using an optical fiber, such as a glass fiber, as an optical element. It can then also be wound up, thus saving space.

In addition, optical transmission systems are predominantly based on signal transmission through glass fibers. In such systems, the transmission fibers may then be coupled to the glass fiber of the OCET by low-loss splicing, for example.

To stabilize the adjusted chromatic dispersion, it is especially advantageous if the device for adjusting a temperature or temperature distribution includes a thermostat device which ensures that the adjusted temperatures are

maintained. A device for measuring the ambient temperature of at least one section of the optical element can also be advantageous, in order to provide a controlled variable for the temperature stabilization. For example, this is beneficial when a temperature chamber is used whose inside temperature represents a good and exact average value for the temperature of the optical element arranged in the chamber.

~~Particularly preferably~~In one embodiment, the chromatic dispersion of the OCET is not only ~~just~~ adjusted, but also controlled. To that end, the device for adjusting a temperature or temperature distribution advantageously includes a temperature-control device. To control the temperature or the temperature distribution of the optical element, various parameters may be used which are processed by the temperature-control device.

To achieve an adaptive dispersion compensation or stabilization, preferably the chromatic dispersion in the optical transmission system or a section thereof is measured, and the temperature or temperature distribution of the optical element of the OCET is adjusted as a function of the measurement. To that end, for instance, the OCET itself may include a device for measuring the chromatic dispersion, whose measured values can then be used directly for temperature control by the temperature-control device.

However, such a measuring device may equally also be a component of the optical transmission system outside of the OCET, and may transfer the measured values or signals corresponding to them to the OCET. The temperature-control device of the OCET is then able to regulate the temperature, and therefore the chromatic dispersion of its optical element, as a function of such a signal.

The chromatic dispersion in the optical transmission system may also be ascertained indirectly by measuring the temperature at at least one location in the optical transmission system, if the dependence of the chromatic dispersion in the optical transmission system on the temperature is known.

One possibility for directly determining the existing chromatic dispersion of at least one section of the transmission system may be, for example, to feed a test signal using a suitable device and evaluating the test signal. After passing through at least one portion of the transmission system, the test signal may then be evaluated by a device for measuring the chromatic dispersion. The measurement of the differential phase shift of wavelength-modulated test signals is especially suitable for this purpose.

Particularly in the case of long transmission links, it can also be advantageous if several OCETs are disposed in the transmission system. For example, one OCET may be arranged in each case in a section of the transmission system between two amplifiers. Accordingly, if a plurality of OCETs are arranged one after the other along the optical path, then their adjustments influence one another. Correspondingly, it is advantageous if the temperature or temperature distribution of one optical element having a temperature-dependent chromatic dispersion is adjusted as a function of the adjustment of at least one further element having a temperature-dependent chromatic dispersion in the optical transmission system, in order to coordinate the adjustments of the optical elements of the OCETs with one another. For example, the OCETs may be interconnected, and thus their adjustments coordinated among themselves. This may advantageously be accomplished via an optical monitoring channel, for instance. The OCETs may advantageously be connected via such a monitoring channel to a



computing device for ascertaining the adjustments of the devices. The computing device can then transmit the respective adjustment parameters via the monitoring channel in accordance with the most beneficial adjustments of the OCETs ascertained.

5 In order to realize higher transmission bandwidths, often a plurality of transmission fibers in an optical transmission system are routed in parallel. If the individual branches of such a transmission system exhibit different fluctuations in the chromatic dispersion, then in this case, a plurality of  
10 OCETs which provide individual stabilization of individual branches may advantageously be operated in parallel. Frequently, however, the fluctuations of the individual branches will also be essentially equal, since, for example, in the case of a dispersion fluctuation triggered by a change  
15 in temperature, the temperature changes essentially result along the optical path, and for the most part are negligible between the individual fibers. ~~Of~~ One advantage in this case is a further refinement of the device according to the present invention in which the OCET includes at least two optical  
20 elements having separate inputs and outputs, which are thus not arranged one after the other along an optical path, and which exhibit a temperature-dependent, chromatic dispersion. The chromatic dispersion of these elements can then be adjusted together by a joint temperature adjustment using the  
25 device for adjusting the temperature or temperature distribution.

The present invention is ~~elucidated more precisely described~~  
in further detail in the following on the basis of exemplified specific embodiments, with reference to the attached drawing.  
30 In this context, identical reference numerals refer to identical or similar parts.

~~The figures show:~~ BRIEF DESCRIPTION OF THE DRAWINGS

Figs. 1A to 1C illustrate varying embodiments—~~examples~~ of optical transmission links with stabilization or adjustment of the chromatic dispersion by OCETs;

Fig. 2 illustrates an exemplary embodiment for determining chromatic dispersion by measuring differential phase shift,

Fig. 3 illustrates a schematic representation of an optically transparent path,

Fig. 4 illustrates a specific embodiment of an OCET having a plurality of optical elements, and

Fig. 5 illustrates another specific embodiment of an optical transmission link.

#### DETAILED DESCRIPTION

Figures 1A through 1C show ~~various possible~~ varying exemplary embodiments of optical transmission links, designated as a whole by 1, with use of OCETs, which are described in the following.

Figure 1A shows a ~~simple~~ first exemplary embodiment of an optical transmission link 1 with stabilization of the chromatic dispersion by an OCET ~~11~~ 15. Transmission link 1 includes a transmitter 3, a transmission fiber 5 and a receiver 7. Receiver 7 of the optical transmission link 1 includes a detector 9 for detecting the transmitted optical signals, as well as an optional optical amplifier 13, such as a fiber booster.

An OCET, designated as a whole by 15, is arranged in the optical path between optical amplifier 13 and the detector 9. ~~On its part, the~~ The OCET includes an optical element having a temperature-dependent chromatic dispersion in the form of a dispersion-compensating fiber 17, which is disposed within a

temperature chamber 16, as well as a device 19 for adjusting a temperature or a temperature distribution of at least one region of the optical element, which in the following is designated as temperature-regulating device. It may include a heating device for adjusting a predefined temperature within the temperature chamber. By adjusting a specific temperature, it is thus possible to provide a predefined chromatic dispersion of dispersion-compensating fiber ~~15~~ 17.

The optical data signals transmitted between transmitter 3 and detector 9 are subject a priori to no restricting boundary conditions. Thus, for example, optical single-channel signals or even multi-channel signals, i.e., ~~WDM signals (WDM = wavelength division multiplexing (WDM) signals,~~ may be transmitted.

The total chromatic dispersion  $\langle D_{\text{tot}} \rangle$  between transmitter and detector is made up essentially of the dispersion components of the dispersion  $\langle D_p \rangle$  of optical transmission fiber 5, the dispersion  $\langle D_{\text{OA}} \rangle$  of the optical amplifier and the dispersion  $\langle D_c \rangle$  of dispersion-compensating fiber 17 of OCET 15. Thus, it holds that:

$$(1) \quad \langle D_{\text{tot}} \rangle = \langle D_p \rangle + \langle D_{\text{OA}} \rangle + \langle D_c \rangle$$

For example, total chromatic dispersion  $\langle D_{\text{tot}} \rangle$  may be set by compensating elements, inserted into the optical path during system installation, to an acceptable value which yields a sufficiently good optical signal quality at the input of detector 9.

~~Naturally, the eEquation No. 1 is an to be understood by way of example exemplary equation because, since~~ an optical transmission link can also have other, particularly also more optical elements, which exhibit temperature-dependent chromatic dispersion.

However, the individual components of the dispersion may be subject to fluctuations  $\langle \Delta D_p \rangle$ ,  $\langle \Delta D_{OA} \rangle$  and  $\langle \Delta D_c \rangle$  over time, so that a generally time-dependent total deviation of the chromatic dispersion results.

5       (2)            $\langle \Delta D_{tot} \rangle = \langle \Delta D_p \rangle + \langle \Delta D_{OA} \rangle + \langle \Delta D_c \rangle$

~~results.~~

~~As a rule, the f~~Fluctuations in the chromatic dispersion of optical transmission fiber 5 and of optical amplifier 13 ~~are~~ may be caused by altered ambient conditions. For example, the ambient temperature of one or more components may change, for instance, between day and night or summer and winter. Even changes not caused by temperature, for instance, due to fluctuations of the mechanical stress can lead to fluctuations in the chromatic dispersion.

15   It is now possible to stabilize the generally time-dependent fluctuations  $\langle \Delta D_p \rangle$  und  $\langle \Delta D_{OA} \rangle$  of the chromatic dispersion of the optical transmission fiber and amplifier with the aid of OCET 15, in that the temperature of dispersion-compensating fiber 17 is so adjusted by device 19 that it holds that:

20       (3)            $\langle \Delta D_c \rangle = -(\langle \Delta D_p \rangle + \langle \Delta D_{OA} \rangle),$

so that

(4)            $\langle \Delta D_{tot} \rangle = 0$

is achieved.

25   In this context, the chromatic dispersion can ~~not only~~ be stabilized, so that the value of  $\langle D_{tot} \rangle$  is constant. Advantageously, ~~in this way,~~ the chromatic dispersion can also be compensated, so that  $\langle D_{tot} \rangle$  assumes the smallest value possible or even disappears.

In the following, it is explained how the temperature of an optical element of an OCET, like in particular a dispersion-compensating optical fiber, may be adjusted in order to achieve a predefined chromatic dispersion of the optical element.

The change  $\langle \Delta D \rangle$  in the chromatic dispersion of an optical fiber as a function of temperature change  $\Delta T$  may be expressed with close approximation by the following equation:

$$(5) \quad \langle \Delta D \rangle = (dD/d\lambda) \cdot (d\lambda_0/dT) \cdot L \cdot \Delta T.$$

In this context,  $D$  denotes the dispersion coefficient,  $L$  the length of the optical fiber,  $\lambda$  the wavelength and  $\lambda_0$  the zero-dispersion wavelength. This equation may be written more simply as:

$$(6) \quad \langle \Delta D \rangle = S_0 \cdot M_0 \cdot L \cdot \Delta T.$$

In this case,  $S_0 = (dD/d\lambda)$  is the rise and  $M_0 = (d\lambda_0/dT)$  is the temperature coefficient of the chromatic dispersion.

Thus, when working with a glass fiber having a given length  $L$ , the change  $\langle \Delta D \rangle$  in the chromatic dispersion and temperature change  $\Delta T$  are approximately proportional to one another.

In the following, it is further shown how a change in chromatic dispersion  $\langle \Delta D_p \rangle$  of an optical transmission fiber may be compensated for by an OCET, and thus the chromatic dispersion of the transmission system may be stabilized. In this context, subscript "P" denotes variables of the transmission fiber and subscript "C" denotes variables of the optical element of the OCET, using a dispersion-compensating fiber as an example. Furthermore, subscript "0" denotes initial values.

The total dispersion  $\langle \Delta D_{\text{tot}} \rangle$  of both glass fibers is to be stabilized to an initial value

$$(7) \quad \langle D_{\text{tot},0} \rangle = \langle D_p,0 \rangle + \langle D_c,0 \rangle,$$

so that the difference between instantaneous total dispersion  
5  $\langle D_{\text{tot}}(t) \rangle$  and initial total dispersion  $\langle D_{\text{tot},0} \rangle$  is regulated to zero. Expressed differently, the sum of the changes in the chromatic dispersion of both glass fibers should be equal to zero, thus:

$$(8) \quad \langle \Delta D_p \rangle + \langle \Delta D_c \rangle = 0.$$

10 From equation (6), resulting from this is:

$$(9) \quad \Delta T_c = -\langle \Delta D_p \rangle / (S_{0,c} \cdot M_c \cdot L_c).$$

As dispersion-compensating optical element of the OCET, any glass fiber may be used by which the fluctuations  $\langle \Delta D_p \rangle$  occurring may be post-controlled in accordance with equation  
15 (9) by temperature change.

The desired total dispersion may be expressed as real multiple  $\varepsilon$  of  $\langle \Delta D_p \rangle$ , thus by  $\langle D_{\text{tot},0} \rangle = \varepsilon \cdot \langle \Delta D_p,0 \rangle$ . Moreover, the chromatic dispersion of a glass fiber is the product of dispersion coefficient  $D$  and length  $L$ , so that it holds that:

$$20 \quad (10) \quad \langle D_{\text{tot},0} \rangle = \varepsilon \cdot \langle \Delta D_p,0 \rangle = D_p \cdot L_p + D_c \cdot L_c.$$

The dispersion coefficients of the two optical fibers are denoted by  $D_p$  and  $D_c$ . Together with equation (9), ultimately following therefrom is:

$$(11) \quad \Delta T_c = \Delta T_p \cdot (M_{0,p}/M_{0,c}) \cdot (D_c/S_{0,c}) \cdot ([1-\varepsilon] D_p/S_{0,p})^{-1}.$$

25 In the event that no residual dispersion ~~is supposed to be present, so that~~  $\langle D_{\text{tot},0} \rangle = \varepsilon = 0$  ~~thus applies, and~~ equation (11) ~~changes into~~ becomes

$$(12) \quad \Delta T_c = \Delta T_p \cdot (M_{0,p}/M_{0,c}) \cdot (D_c/S_{0,c}) \cdot (D_p/S_{0,p})^{-1}.$$

If the intention is to stabilize the chromatic dispersion of an optical transmission link, then the temperature must thus be adjusted according to equation (12). If the dispersion is even to be compensated by an OCET, then the temperature of the optical element of the OCET may be adjusted according to equation (12). If, for example, the temperature of the optical transmission fiber is measured, then resulting from equation (11) and equation (12), respectively, is a specific

temperature difference  $\Delta T$ . ~~which is~~ The dispersion-compensating fiber of the OCET ~~must have~~ has the temperature difference  $\Delta T$  for stabilizing or compensating the dispersion, so that its temperature to be adjusted is calculated from the temperature of the optical transmission fiber plus the temperature difference according to the above equations.

Temperature coefficient  $M_0$  is a function of the material of the optical element of the OCET and typically lies between 0.0026 nm/°K ~~and~~ and 0.03 nm/°K. Values for temperature coefficients of suitable materials are indicated, inter alia, in K. S. Kim et al., "Temperature dependence of chromatic dispersion ~~in~~ in dispersion shifted fibers; experiment and analysis", Journal Appl. Phys. 73, pages 2069-2074, 1993. Typical values for dispersion coefficients  $D$  and rises  $S_0$  by 1550 nm wavelength are given in the following table for standard single-mode fibers and for several types of dispersion-compensating fibers:

|                         | $D$<br>[ps/(nm·km)] | $S_0$<br>[ps/(nm <sup>2</sup> ·km)] | $D/S_0$<br>[nm] |
|-------------------------|---------------------|-------------------------------------|-----------------|
| standard<br>single-mode | 17                  | 0.057                               | 298             |

|                                 |       |        |      |
|---------------------------------|-------|--------|------|
| fiber                           |       |        |      |
| dispersion-compensating fiber 1 | -48.6 | +0.053 | -917 |
| dispersion-compensating fiber 2 | -50.8 | -0.154 | 330  |
| dispersion-compensating fiber 3 | -100  | -0.3   | 330  |

The values indicated in the table for the standard single-mode fiber and dispersion-compensating fiber 3 are taken from the above-cited publication of K. S. Kim et al., and the values of the two other dispersion-compensating fibers are taken from T. Kato, Y. Koyano, M. Nishimura, "Temperature dependence of chromatic dispersion in various types of optical fiber", Opt. Lett., Vol. 25, No. 16, pages 1156-1158, 2000. ~~The disclosure of both publications is hereby also completely made subject matter of the present invention.~~

If the chromatic dispersion of the optical components changes frequently, it is advantageous if the temperature-regulating device includes a temperature-control device, ~~in which not merely with~~ a fixed value of the temperature of fiber 17 is adjustable, ~~but rather which additionally~~ and regulates its temperature as a function of measured quantities. Thus, in particular, the chromatic dispersion may be measured at one or more of the measuring points 30, 31, 32 marked ~~in~~ in Fig. 1A, using a suitable device. Signals corresponding to the measured values may then be transferred to the temperature-control



device which then adjusts the temperature of dispersion-compensating fiber 17 as a function of these signals.

The chromatic dispersion may also be determined indirectly.

For example, the chromatic dispersion in the optical transmission system 1 may be ascertained by measuring the temperature at at least one of the measuring points 20, ~~21,~~ 22, 30, 31, 32 in the optical transmission system. From a calibration measurement, in each case a chromatic dispersion may then be assigned to the measured temperature values.

Naturally, such a type of indirect measurement only takes into account changes of the chromatic dispersion because of temperature fluctuations to which the optical elements of the transmission system are subject.

Fig. 1B shows another exemplary embodiment of a dispersion-stabilized transmission link. In this exemplary embodiment, temperature-regulating device 19 of the OCET includes a temperature-control device 21. Transmitter 3 includes an optical signal source 4 for converting data into optical signals, as well as a test-signal generator 23. The signals of optical signal source 4 and test-signal generator 23 are coupled by a coupler 25 and transmitted together via optical transmission fiber 5. The test-signal generator generates a test signal used for measuring the chromatic dispersion.

In addition to optical amplifier 13, OCET 15 and detector 9, in this ~~specific~~ embodiment, receiver 7 also includes a ~~further~~ coupler 29 for decoupling the test signal and the optical data signals. The decoupled data signals are conducted further along the optical path via OCET 15 to detector 9. The test signals are conducted from coupler 29 to a CD monitor, i.e., a measuring device 27 for measuring the chromatic dispersion, which, based on these test signals, determines the chromatic dispersion of the transmission-link section lying

between signal source 4 and coupler 29. The measured values may then be converted into corresponding signals which are transferred to temperature-control device 21 that, as a function of these signals, i.e., the measured values  
5 corresponding to them, adjusts the temperature of fiber 17 so that it exhibits a specific chromatic dispersion.

In this instance, the method for measuring the differential phase shift of wavelength-modulated test signals is particularly suitable for measuring the chromatic dispersion  
10 using test signals. One possible arrangement for implementing this method in a specific embodiment of an optical transmission link as shown in Fig. 1B is depicted schematically in Fig. 2. In this case, a narrow-band test signal 102 is modulated periodically around a mid-wavelength.  
15 This is accomplished, for example, by filtering a broader-band test signal 100 through a modulated filter or a modulator 105 such as an oscillating grating. This test signal 102 is then coupled, together with a reference signal 101, via coupler 25 into the transmission link. In addition, both signals are  
20 suitably modulated over time. To that end, the signals preferably include a sequence of pulses such as, in particular, square-wave pulses.

Because of the chromatic dispersion, a change in the relative temporal position of the pulses of test signal 102 and  
25 reference signal 101 then results during the transmission of these signals, which manifests as a phase shift between the pulses. CD monitor 27 is then able to detect this with high accuracy with the aid of a synchronized amplifier, i.e., lock-in amplifier 109. The value of the chromatic dispersion with  
30 respect to the mid-wavelength of the test signal is then yielded from such a phase shift  $\Delta\Phi$ .

However, the lock-in method requires synchronization between the test-signal generator and the CD monitor. To permit measurement of the phase shift in synchronized fashion, the modulation frequency and modulation phase are needed by the lock-in amplifier, e.g., in the form of a signal corresponding to the modulation of the test signal. To that end, for instance, test-signal generator 23 includes a modulation-frequency generator 103. Modulator 105 is then controlled, i.e., narrow-band test signal 102 is modulated, with the modulation frequency generated by modulation-frequency generator 103. At the same time, the modulation frequency of generator 103 is transmitted via an optical monitoring channel 107 to the lock-in amplifier. In this context, because of the small amount of information content, monitoring channel 107 may have a very narrow-band design. The monitoring channel may be implemented via a separate line, but may equally also be transmitted as a narrow-band frequency band via the optical transmission line.

From these measurements, a suitable signal corresponding to the chromatic dispersion may then be generated, which is transmitted to the temperature-control device of the OCET.

~~OTDR measurements (OTDR = Optical time domain reflectometry)~~ (OTDR) measurements or transit-time photon counting, among others, are also suitable for determining the chromatic dispersion with the aid of test signals. Both methods are based on transit-time measurements of optical signals.

Fig. 1C shows a further generalized exemplary embodiment of an optical transmission link 1. Here, receiver 7 has two optically transparent elements 40 and 41, of which one element 40 is disposed upstream and ~~further~~ element 41 is disposed downstream of the OCET along the optical path. Naturally, one

of the elements 40, 41 may also include an optical amplifier, for example.

Any optical elements in which an optical signal is transported in optically transparent fashion or processed are understood to be optically transparent elements. Examples of such optically transparent elements are optical amplifiers, optical multiplexers and demultiplexers, optical filters, optical add/drop multiplexers and glass-fiber elements, as well as combinations of such elements. In the same way, of course, an OCET according to the present invention represents such an optically transparent element. In any case, optical signals which pass through optically transparent elements are subject to the chromatic dispersion of the transparent or partial material of these elements.

Accordingly, an OCET is able to stabilize the chromatic dispersion of any given optical path, as is depicted, for example, in Fig. 3, within a certain range of occurring fluctuations of the dispersion. An optically transparent path, as shown in Fig. 3, includes an arrangement of successive, optically transparent elements 40, 41, ..., 4N and transmission fibers 51, 52, ..., 5N. The dispersion may be determined at one or more of the measuring points 50, 51, ..., 5N.

In general, a transmission fiber may also be made up of a plurality of fibers, arranged one after the other, which also include different materials and may therefore exhibit different chromatic dispersions, as well.

Fig. 4 shows a further specific embodiment of an OCET 15. It is distinguished by the fact that it has more than one dispersion-compensating optical element. Regarding this, the exemplary specific embodiment shown in Fig. 4 has two dispersion-compensating fibers 171, 172. Fibers 171 and 172

each have separate inputs 151, 152 and outputs 154, 155 that are connectable to individual parallel branches of an optical transmission system. Fibers 171 and 172 are accommodated in a shared temperature chamber 16. The temperature in temperature  
5 chamber 16 is then able to be adjusted by temperature-regulating device 19 with the aid of heating device 156. In this way, the temperatures of both fibers 171 and 172 are adjusted together. In a simple manner, such an OCET is thus able to simultaneously stabilize the chromatic dispersion of a  
10 plurality of parallel branches of an optical transmission system.

In the following, reference is made to Fig. 5 which shows a further exemplary specific embodiment of a dispersion-stabilized, optical transmission, i.e., a transmission link 1.

15 This specific embodiment includes a plurality of optical network elements 60, 62, ..., that are situated between transmitter 3 and receiver 7 along the optical transmission path between optical transmission fibers 51, 52, ..., 5N.

For their part, optical network elements 60, 62, ... each again  
20 include one or more optically transparent elements. In addition, the network elements, as well as receiver 7, each include an OCET 15. In addition to a temperature-control device 21, the specific embodiment of the OCETs shown here also includes a CD monitor 27 which, in each case, determines  
25 the chromatic dispersion at one of allocated measuring points 30, 31, ... 3N. Naturally, CD monitors 27 may also be disposed as separate components, similarly as indicated in Fig. 1B.

Temperature-control ~~units~~ devices 21 of OCETs 15 are  
interconnected among each other via an optical control channel  
30 or optical monitoring channel 107. For that purpose, optical monitoring channel 107 is preferably bidirectional and may,

for example, also be realized via a fixed telephone line or an IP connection.

Thus, ~~in addition,~~ the settings of the other OCETS transmitted via the optical monitoring channel are added here to the measured values of the chromatic dispersion, determined by the respective CD monitors, as input parameters for temperature control devices 21. Similarly, in one~~A specific~~ embodiment ~~similar thereto, in which~~ the measured values of the chromatic dispersion ascertained by respective CD monitors 27 are transmitted via the channel 107 to a central computing device 158, is also advantageous. It is then able to ascertain the best settings of the OCETs and transmit signals corresponding to these settings back to OCETs 15 via optical monitoring channel 107.

CLAIMS

WHAT IS CLAIMED IS:

~~What Is Claimed Is:~~

## ABSTRACT

A device and method for adjusting the chromatic dispersion in an optical transmission system includes an optical element having a temperature-dependent chromatic dispersion. The device and method further include a device for adjusting a temperature or a temperature distribution of at least one region of the optical element for providing a predefined chromatic dispersion of the optical element. Therefore, the chromatic dispersion of the optical element may be regulated along an optical transmission path.